



## Personal Information Notice and Consent

By signing this consent form, I (“I,” “me,” “my”) grant my free, voluntary, and unconditional consent to the collection, processing, storing, or transmitting of all Personal Information (as defined below) relating to me disclosed or transmitted by me or by my authorized agent to Integrity Advisory Solutions, LLC and its affiliates (collectively, “Integrity”) and/or any of their respective authorized agents or representatives in accordance with applicable data privacy laws, including the California Privacy Rights Act, Cal. Civ. Code § 1798.100 et seq.

I understand that my “**Personal Information**” means any information that identifies, relates to, describes, is reasonably capable of being associated with, or could reasonably be linked, directly or indirectly, with a particular consumer or household. Personal information includes, but is not limited to, the following if it identifies, relates to, describes, is reasonably capable of being associated with, or could be reasonably linked, directly or indirectly, with a particular consumer or household: (a) Identifiers, such as a real name, alias, postal address, unique personal identifier, online identifier Internet Protocol address, email address, account name, social security number, driver’s license number, passport number, or other similar identifiers; (b) Characteristics of protected classifications under state or federal law; (c) Commercial information, including records of personal property, products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies; (d) Biometric information; (e) Internet or other electronic network activity information, including, but not limited to, browsing history, search history, and information regarding a consumer’s interaction with an Internet website, application, or advertisement; (f) Geolocation data; (g) Audio, electronic, visual, thermal, olfactory, or similar information; (h) Professional or employment-related information; (i) information regarding a consumer’s financial history, investment patterns, preferences, or advisory or other financial or insurance products or services obtained, and (j) Inferences drawn from any of the information identified in this subdivision to create a profile about a consumer reflecting the consumer’s preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes.

I understand that Integrity will strive to keep the Personal Information provided to Integrity in strict confidence, and that the collection, processing, storage, or transmission of all Personal Information by Integrity may be used for any of the following purposes (collectively, the “**Purposes**”) to the fullest extent required or permitted by Applicable Law:

- a. To make decisions relating to the establishment, maintenance, or termination of accounts, and the establishment, provision or continuation of products and/or services including, but not limited to, investment, insurance, financial and wealth management products and services, and otherwise maintaining accurate “Know Your Customer (KYC)” information and conducting anti-money laundering and sanctions, credit, and background checks;
- b. To provide, operate, process, and administer Integrity accounts and services or to process applications for Integrity accounts, products and/or services (whether offered or issued by Integrity or otherwise);
- c. To undertake activities related to the provision of the Integrity accounts and services including, but not limited to, transaction authorization, statement printing and distribution, customer service and conduct of surveys, the provision of research reports, offering documents, product profiles, customer profiling, term sheets, or other product related materials;

- d. To provide related services and support, including, without limitation, provision of processing or administrative support or acting as an intermediary or agent in connection with participation in various products and/or services (whether such products and/or services are offered or issued by Integrity, any of Integrity's affiliates, third parties, or through other intermediaries, providers, or distributors);
- e. To fulfill legal, regulatory, governmental, tax, law enforcement, and compliance requirements;
- f. To verify the identity or authority of family members, friends, beneficiaries, attorneys, attorneys-in-fact, beneficial owners (if relevant), persons under any trust, trustees, partners, or authorized signatories, and other individuals or representatives who contact Integrity or may be contacted by Integrity (collectively, the "**Related Person(s)**") and to carry out or respond to requests, questions, or instructions from verified representatives or other parties pursuant to Integrity's then-current security procedures;
- g. For risk assessment, statistical and trend analysis, and planning purposes, including to carry out data processing, statistical, credit, anti-money laundering and sanctions analyses, creating and maintaining credit scoring models, and otherwise ensuring potential or ongoing credit worthiness, including conducting banking, credit, financial, and other background checks and reviews, and maintaining banking, credit, and financial history of individuals (whether or not there exists any direct relationship between me or Related Person(s) and Integrity) and creating and maintaining business development plans and activities for present and future reference;
- h. To monitor and record calls and electronic communications with me, authorized agent(s), or Related Person(s) for record keeping, quality assurance, customer service, training, investigation, litigation, and fraud prevention purposes;
- i. For crime and fraud detection, prevention, and investigation;
- j. To enforce or defend the rights of Integrity and/or any of its affiliates and subsidiaries, and their respective members, managers, principals, officers, employees, representatives, or agents, contractual or otherwise;
- k. To perform internal management and management reporting, to operate control and management information systems, and to carry out business risk, control, or compliance review or testing, internal audits or enable the conduct of external audits;
- l. To manage Integrity's relationship with me, which may include providing information about me or Related Person(s), to Integrity and any of its affiliates and subsidiaries;
- m. For marketing to me and to individuals with similar profiles, attributes or behavior, banking, financial, credit, investment, trust, insurance, loan, mortgage, and wealth management related products or services, conducting market, product and service research, and designing or refining any products or services including by conducting data analysis, and surveys, by various modes of communication including mail, telephone call, SMS, fax, electronic mail, Internet, mobile, social media, chat, biometric, and other technological tools and development;

**Check here if you wish to limit Integrity (including its affiliates) from marketing products and services to you based on your Personal Information. Your choice to limit marketing offers from Integrity will remain in place until you otherwise direct. You may change your selection at any time by contacting us at the address in our Brochure or email address shown in the Additional Information box below.**

- n. To comply with any obligations, requirements, policies, procedures, measures, or arrangements for sharing information within Integrity and any of its affiliates and subsidiaries, and any other use of data and information in accordance with any Integrity programs for compliance with tax,

sanctions or prevention or detection of money laundering, terrorist financing or other unlawful activities; and

- o. Any other transactions and/or purposes relating directly thereto.

At the same time, I agree that the Personal Information shall be retained by Integrity for as long as necessary for the fulfillment of any of the aforementioned Purposes.

I understand and consent to Integrity’s processing of my Personal Information using artificial intelligence and the use of my data for algorithm-training purposes. I further consent to Integrity’s use and disclosure of de-identified or aggregate data derived from my Personal Information (“De-Identified Data”) to the fullest extent permitted by law. “De-Identified Data” is information that has been compiled or modified by Integrity so that it is no longer reasonably linkable to me. As permitted by applicable law, I give Integrity the right to own and use such De-Identified Data for any purpose, including the ability to disclose or sell such De-Identified Data to third parties.

Check here to limit Integrity’s disclosure of your De-Identified Data. Your choice will remain in place until you direct otherwise. You can opt-out at any time by contacting us at the address in our Brochure or email address shown in the Additional Information box below.

I hereby acknowledge that I have been provided with the written notification below on my rights as a consumer if I am a California Resident pursuant to the California Privacy Rights Act (each, a “**Right**”, collectively, the “**Rights**”):

- i. To be informed whether Personal Information is being or has been collected, processed, stored, or transmitted.
- ii. To require Integrity to correct any Personal Information or other information relating to me that is inaccurate;
- iii. To object to the processing of the Personal Information in case of changes or amendments to the Personal Information supplied or declared to me;
- iv. To request the limitation of the disclosure of certain sensitive Personal Information;
- v. To access the Personal Information; and
- vi. To delete my Personal Information from Integrity’s databases and systems.

I acknowledge, further, that if I were to exercise any of the Rights enumerated above, Integrity reserves, to the extent permitted by applicable law, any and all rights to re-evaluate and/or terminate its Business with me as well as any of the Purposes and/or Integrity products and/or services for which the Personal Information has been collected, processed, stored, or transmitted.

I have read and understood the above and hereby consent to, agree on, accept and acknowledge these terms of consent for myself or as an agent for and on behalf of the principal(s) I represent by signing below.

\_\_\_\_\_  
Client Signature

\_\_\_\_\_  
Date (MM/DD/YYYY)

\_\_\_\_\_  
Joint Client Signature

\_\_\_\_\_  
Date (MM/DD/YYYY)

**ADDITIONAL INFORMATION**

For inquiries, you may contact Integrity Advisory Solutions at [info@integritywealthsolutions.com](mailto:info@integritywealthsolutions.com).